ITW

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Application Number		10/582,482
			Filing Date		June 14, 2007
			First Named Inventor		Christopher TOUMAZOU
			Group Art Unit		2834
		ļ	Examiner Name		To Be Assigned
otal Number of Pages in This Submissi	on	11	Attorney Docket Numb	ber	18655-232590
	=	ENCL	OSURES (check all that appl	ly)	
☑ Fee Transmittal Form (No Fee	,	Assign	nment Papers Application)		After Allowance Communication to Group
☐ Fee Attached ☐ Drawin		ng(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response		Licens	sing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petitio			Proprietary Information
A CC   '4 ()   1 ()			n to Convert to a sional Application		Status Letter
		r of Attorney, Revocation ge of Correspondence Address		Other Enclosure(s) (please identify below):	
Express Abandonment Request		Termi	inal Disclaimer		1. Marked-up Copy of
		Reque	est for Refund		Corrected Filing Receipt; 2. Supplemental Application
Request for Corrected Filing Receipt		CD, Number of CD(s)			Data Sheet
Certified Copy of Priority Document(s)		Rema	arks	<u> </u>	
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts Under 3' CFR 1.52 or 1.53	7	_			
SIGN	ATL	JRE OF /	APPLICANT, ATTORNEY	Y, OR	AGENT
Firm	Цο	nry J. Da	Nov	_	
or . Individual name		nry J. Da g. No. 42,	· ·		26694
Individual name					PATENT TRADEMARK OFFICE
Signature # enr	14	A. X	Talley		
Date Sept.	<u>[</u>	19, 5	2007		
		CEI	RTIFICATE OF MAILING	;=	



Signature

Date

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective	Effective on 12/08/2004. Complete if Known							
· ·	Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/582,482							
FEE TRANSMITTAL			F	iling Date	June 14, 2007			
For FY 2006					lamed Inventor Christopher TOUMAZOL		ου	
101112000				Examiner Name To Be Assigned				
Applicant claims small entity status. See 37 CFR 1.27				Art Unit 2834				
TOTAL AMOUNT OF PAY	/MENT	(\$) - 0 -	A	ttomey Docket	No.	18655-232590		
METHOD OF PAYMEN	IT (check all	that apply)					<del></del>	
Check Credit	Card [	Money Order	None	Other (	(please			
X Deposit Account Dep	osit Account Num	ber: 22-0261 Deposit	Accoun	t Name:		Venable LLF	<u> </u>	
For the above-iden	tified deposit	account, the Directo	or is he	ereby authorize	ed to: (che	eck all that apply)		
Charge fee(s	) indicated be	low		Charge	e fee(s) ir	ndicated below, ex	cept for t	he filing fee
X Charge any f fee(s) under	ee(s) or unde 37 CFR 1.16			X Credit	any over	payments		
FEE CALCULATION (A			pon f	iling or may	be subj	ect to a surcha	ırge.)	
1. BASIC FILING, SEARC								
	FILIN	IG FEES S Small Entity	SEAR	CH FEES Small Entity	EXAMI	NATION FEES Small Entity		
Application Type	Fee (\$)		(\$)	Fee (\$)	Fee (\$)		Fees	Paid (\$)
Utility	300	150 50	00	250	200	100		
Design	200	100 10	00	50	130	65		
Plant	200		00	150	160	80		
Reissue	300		00	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FEES							F (A)	Small Entity
Fee Description Each claim over 20 (incl	ludina Paiss	uae)					Fee (\$)	Fee (\$)
Each independent claim	_	•					50	25
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Indep. Claims Extra	Claims I	Fee (\$) Fe	e Pai	d (\$)		-		
-3 = HP = highest number of total cl	X	S Secretary than 2						
3. APPLICATION SIZE FE	E							
If the specification and	drawings ex	ceed 100 sheets	of pa	per (excludin	g electro	onically filed seq	uence or	computer
listings under 37 CF sheets or fraction th	R 1.52(e)),	the application siz	ze fee	due is \$250	(\$125 fc	or small entity) for	or each a	dditional 50
	Extra Sheets			tional 50 or frac		of Eon (\$)	Foo	Poid (6)
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4. OTHER FEE(S)			(,,,	and up to a mile	io mamber		Fees	Paid (\$)
Non-English Specific	ation \$120	) fee (no small and	itu dia	count)				
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SUBMITTED BY		N 10 /	I Da	gistration No.				
Signature from	~~~/// <u>/</u> /	Jaxly		torney/Agent)	42,459	Telephone	(202) 34	14-4000
Name (Print/Type) Henry J.	. Daley, Ph.	D. //				Date Con	1, 19	2007

#893022

# SEP 1 9 2007 ST

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christopher TOUMAZOU et al.

Appln. No. 10/582,482

Confirmation No. 2201

Filed: June 14, 2007

For: IMPLANTABLE SURFACE ACOUSTIC

WAVE DEVICES FOR LONG TERM

CLINICAL MONITORING

Art Unit: 2834

Examiner: To Be Assigned

Atty. Docket No. 18655-232590

Customer No.
26694
PATENT TRADEMARK OFFICE

## Request for Corrected Filing Receipt and Submission of Supplemental Application Data Sheet

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Mail Stop: Office of Initial Patent Examination

**Filing Receipt Corrections** 

Sir:

Submitted herewith is the Filing Receipt for the above-referenced application, marked up (in red) to show the following corrections:

- 1. The attorney docket number for this case ("64909-232059" is now -- 18655-232590 --);
- 2. The domicile city of the first-named inventor, Christopher Toumazou, should be -- Oxford -- rather than "Oat";
- 3. The given and middle names of the second-named inventor are transposed; "Neil Christopher" should be -- Christopher Neil --;

Applicants: Christopher TOUMAZOU et al.

Appln. No. 10/582,482

4. The domicile city of the second-named inventor, Christopher Neil McLeod, should

be - Headington -- rather than "Oxford";

5. The given and middle names of the third-named inventor are transposed; "Robert

Glenn Noel" should be -- Glenn Noel Robert --;

6. The Assignee, Imperial College Innovations Ltd., which was omitted from the Filing

Receipt, is provided; and

3. The Domestic Priority Application Number "PCT/GB04/05228" should be

-- PCT/GB2004/05288 --.

Sept. 19, 2007

Please issue a Corrected Filing Receipt for this application to show the above-noted changes.

A Supplemental Application Data Sheet, which reflects the correct attorney docket number.

as well as the Domestic Priority Application Number (which was omitted from the originally

submitted Application Data Sheet), is also being filed concurrently.

Respectfully submitted,

Dated

Henry J. Dalley, Ph.D.

Registration No. 42,459

VENABLE LLP

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000 Telefax : (202) 344-8300

HJD/SJB #892839



### United States Patent and Trademark Office<sup>v</sup>

SEP 1 9 2007

RA: HJD

ATES DEPARTMENT OF COMMENT DESCRIPTION OF COMMENT FOR STATE OF PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uppto.gov

 APPL NO.
 FILING OR 371(c) DATE
 ART UNIT
 FIL FEE REC'D
 ATTY DOCKET NO
 TOT CLMS
 IND CLMS

 10/582,482
 06/14/2007
 2834
 1690
 -84999-292059\*\*
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18655-232590

ONFIRMATION NO. 2201

26694 VENABLE LLP P.O. BOX 34385 WASHINGTON, DC 20043-9998

FILING RECEIPT

\*OC000000025552081\*

Date Mailed: 08/28/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Oxford

Christopher Toumazou, Ost, UNITED KINGDOM: Headington NeinChristopher McLeod, Oxford, UNITED KINGDOM;

Robert Glenn Noel Vandevoorde, Parkshore, SINGAPORE,

Assignment For Published Patent Application: Imperial College Innovations Ltd.

Henry Daley--42459

Domestic Priority data as claimed by applicant 20

This application is a 371 of PCT/GB04/05228 12/14/2004

Foreign Applications

UNITED KINGDOM 0329019.4 12/15/2003

If Required, Foreign Filing License Granted: 08/24/2007

The country code and number of your priority application, to be used for filing-abroad under the Paris Convention, is US10/582,482

**Projected Publication Date: 12/06/2007** 

Non-Publication Request: No

AUG 29 2007
VENABLE IP DOCKETING DEPARTMENT

COMPUTER UPDATED JW 8/29/07 Early Publication Request: No

Title

Implantable Surface Acoustic Wave Devices for Long Term Clinical Monitoring

**Preliminary Class** 

310

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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# **SUPPLEMENTAL APPLICATION DATA SHEET**

## **Application Information**

Application Number:: To Be Assigned

10/582,482

Filing Date:: June 12, 2006

Application Type:: National Phase

Subject Matter:: Utility

Title:: IMPLANTABLE SURFACE ACOUSTIC WAVE

DEVICES FOR LONG TERM CLINICAL

MONITORING

Attorney Docket Number:: 64909-232059

18655-232590

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 1

Total Drawing Sheets:: 1

Small Entity?:: No

## **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Great Britain

Status:: Full Capacity

Given Name:: Christopher

Family Name:: TOUMAZOU

Country of Residence:: Great Britain

**Street of Residence and Mailing** 8 Barrett Street

Address::

City of Residence and Mailing Oxford

Address::

Country of Mailing Address:: Great Britain

Postal or Zip Code of Mailing

Address::

Given Name::

Family Name::

OX2 0AT

Christopher

**MCLEOD** 

**Applicant Authority Type::** Inventor

Primary Citizenship Country:: Great Britain

Status:: Full Capacity

Middle Name:: Neil

Country of Residence:: Great Britain

Street of Residence and Mailing 62 Sandfield Road

Address::

City of Residence and Mailing Headington

Address::

Country of Mailing Address:: Great Britain

Postal or Zip Code of Mailing OX3 7RJ

Address::

**Applicant Authority Type::** Inventor

Primary Citizenship Country:: Belgium and Singapore

Status:: Full Capacity

Given Name:: Robert Glen

<u>Glenn</u>

Middle Name:: Noel Robert

Family Name:: VANDEVOORDE

Country of Residence:: Singapore

Street of Residence and Mailing 301 Tanjong Rhu Road, #13-10

Address::

City of Residence and Mailing Parkshore

Address::

Country of Mailing Address:: Singapore

Postal or Zip Code of Mailing

Address::

436917

# **Correspondence Information**

Correspondence Customer 26694

Number::

Fax Number::

(202) 344-4000

Phone Number::

(202) 344-8300

E-Mail Address::

hjdaley@venable.com

# **Representative Information**

**Representative Customer** 

26694

Number::

# **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application is a	National Stage of	PCT/GB2004/05228	December 14, 2004

# **Foreign Priority Information**

Country::	Application Number::	Filing Date::	Priority Claimed::	
Great Britain	0329019.4	December 15, 2003	Yes	
		-		

# **Assignee Information**

Assignee Name::

Imperial College Innovations Ltd.

Street of Mailing Address::

Imperial College London

Electrical and Electronic Engineering Building

**South Kensington Campus** 

City of Mailing Address::

London

**Country of Mailing Address::** 

**Great Britain** 

Postal or Zip Code of Mailing

A . .

**SW7 2BT** 

Address::